



Dated: 1st October, 2021

**To,
Listing Department
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata 700 001**

Dear Sir,

SUB.: Compliance under Regulation 44(3) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

This is to inform you that 28th Annual General Meeting (“AGM”) of M/s. Global Finance & Securities Limited was held on Thursday, 30th September, 2021 at 10.30 A.M. at 17, Ganesh Chandra Avenue, 5th Floor, Kolkata, West Bengal - 700 013.

As per the provisions of the Companies Act, 2013 and SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, the Company had provided the facility of remote E-voting to the shareholders to enable them to cast their vote electronically to the resolutions proposed in the notice of the 28th AGM. The Remote E-voting was open from Monday, 27th September, 2021 at 9.00 a.m. (IST) to Wednesday, 29th September, 2021 at 5.00 p.m. (IST).

The Board of Directors had appointed Mr. Rajarshi Ghosh, Practicing Company Secretary, as Scrutinizer for E-Voting and poll process at the AGM; Mr. Rajarshi Ghosh has carried out the scrutiny of all the electronic votes and poll conducted at the AGM and has submitted his Report on 30th September, 2021.

Based on the Consolidated Report of the scrutinizer, all ordinary resolutions as set out in the Notice of 28th Annual General Meeting have been duly approved by the shareholders with requisite majority.

In this regard, please find enclosed the following:

1. Disclosures pertaining to the voting results of remote E-voting and poll conducted at the 28th AGM, pursuant to provisions of Regulation 44(3) of SEBI (LODR) Regulations, 2015.

2. Consolidated Report of the Scrutinizers dated 30th September, 2021, pursuant to Section 108 of the Companies Act, 2013 and rules made thereunder.

The aforementioned are also being uploaded on the Company's website.

This is for your information and record.

Thanking You

Yours faithfully,
For Global Finance & Securities Ltd.

Ankita Agarwal

Ankita Agarwal
Company Secretary & Compliance Officer

ANNEXURE - B

Details of results of E-voting and Ballot pursuant to Regulation 44(3) of the SEBI (Listing Obligation and Disclosure Requirement) Regulation

Sl.No.	Particulars	Details
1	Date of AGM	30 th September, 2021
2	Total number of shareholder as on Cut-off Date	3347
3	No. of shareholders present in the Meeting either in Person or Through proxy	
	➤ Promoter and Promoter Group	3
	➤ Public	13
4	E-Voting period	27 th September, 2021 (9:00 a.m.) to 29 th September, 2021 (5:00 p.m.)
5	No. of Shareholders who attended the meeting through video conferencing -	Not Applicable
	➤ Promoter and Promoter Group	-
	➤ Public	-





applicable)									
Total	2701200	468500	17.34	464700	3800	99.19	0.81		
Total	5000200	2684000	53.68	2680200	3800	99.86	0.14		

Agenda Item No.2										
Appointment of Shri Hathi Mal Nahata (DIN: 00522595) as a Director, who retires by rotation and being eligible offers himself for re-appointment.										
Resolution required: (Ordinary/Special)										
Whether Promoter/Promoter Group are interested in the Agenda/ resolution										
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares (3)= [(2)/(1)]*100	No. of Votes - in favor	No. of Votes - against	% of Votes in favor on votes polled (6)= [(4)/(2)]*100	% of Votes against on votes polled (7)= [(5)/(2)]*100	Ordinary	
									Yes	No
Promoter and Promoter Group	E-voting	2215500	2215500	100.00	2215500	Nil	100	Nil	Nil	
	Poll - Ballot Paper	2215500	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA	
Public - Institutional holders	Total	2215500	2215500	100.00	2215500	Nil	100	Nil	Nil	
	E-voting	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
	Poll - Ballot Paper	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
Public-Others	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA	
	Total	83500	Nil	Nil	Nil	Nil	Nil	Nil	Nil	
	E-voting	2701200	442500	16.38	438700	3800	99.14	0.86		

GLOBAL FINANCE & SECURITIES LIMITED**CIN: L65991WB1994PLC061723**

Regd. Office: 17, Ganesh Chandra Avenue, 5th Floor, Kolkata - 700 013

Website: www.globalfinance.co.inEmail: gfs1994@gmail.com

	Poll - Ballot Paper	2701200	26000	0.96	26000	Nil	100	Nil
	Postal Ballot (if applicable)	NA	NA	NA	NA	NA	NA	NA
	Total	2701200	468500	17.34	464700	3800	99.19	0.81
Total		5000200	2684000	53.68	2680200	3800	99.86	0.14

For Global Finance & Securities Ltd.**Kamal Singh Singhi**
Chairman cum Director
DIN No.: 00514656

CS RAJARSHI GHOSH

Company Secretary In Practice
ACS No. - 17717
CP. No. - 8921

AB - 198,
Sector 1, Salt Lake City
Kolkata- 700 064
Mobile No: 09830129400
Email: csrajarshi@gmail.com

Date: 30-09-2021

To,
The Chairman
Global Finance & Securities Ltd
CIN: L65991WB1994PLC061723
17 Ganesh Chandra Avenue,
Kolkata - 700 013

Sub: Scrutinizer's Report

Ref: 28th Annual General Meeting (AGM) of the Members of Global Finance & Securities Ltd held on Thursday, 30th day of September, 2021 at 10.30 A.M. at 17 Ganesh Chandra Avenue, Kolkata - 700 013


Dear Sir,

I, **Rajarshi Ghosh**, a Company Secretary in Practice (Membership No. ACS 17717, CP No. 8921), would like to thank you for appointing me as the Scrutinizer for remote e-Voting and voting by Members of the Company through Ballot Paper at the 28th Annual General Meeting (AGM) of your Company held on Thursday, 30th day of September, 2021 at 10.30 A.M. at 17 Ganesh Chandra Avenue, Kolkata - 700 013.

I am pleased to submit the Consolidated Scrutinizer's Report, which is self-explanatory.

Date: 30.09.2021
Place: Kolkata




RAJARSHI GHOSH
ACS No. 17717
CP No. 8921
UDIN: A017717C001053774

Report of Scrutinizer on remote e-Voting and voting by members of Global Finance & Securities Ltd at the 28th AGM

CS RAJARSHI GHOSH

Company Secretary In Practice

ACS No. - 17717

CP. No. – 8921

AB – 198,

Sector 1, Salt Lake City

Kolkata- 700 064

Mobile No: 09830129400

Email: csrajarshi@gmail.com

SCRUTINIZER'S REPORT

NAME OF THE COMPANY	Global Finance & Securities Ltd
MEETING	28 th Annual General Meeting
DAY, DATE & TIME	Thursday, 30 th September, 2021 at 10.30 a.m.
VENUE	17, Ganesh Chandra Avenue, 5 th Floor, Kolkata– 700 013

1. Appointment as Scrutinizer

I was appointed as the Scrutinizer for the remote e-Voting as well as the voting conducted through Ballot Paper at the 28th Annual General Meeting (AGM) of **Global Finance & Securities Ltd.** (hereinafter referred to as **the Company**) held on Thursday, 30th September, 2021 at 10.30 a.m. at the venue.

2. Dispatch of Notice convening the AGM

The Company has informed that, on the basis of the Register of Members and the List of Beneficiary Owners made available by the depositories viz., National Securities Depository Limited (NSDL) and Central Depository Services (India) Limited (CDSL), the Company completed dispatch of Notice of the AGM on 7th September, 2021 and the Company has also given public notice for the dispatch of notice in leading newspapers.

3. Cut-off date

The Voting rights were reckoned as on 23rd September 2021, being the cut-off date for the purpose of deciding the entitlements of members at the remote e-Voting and voting at the AGM. There were 3347 members as on the cut-off date.

4. Remote e-Voting Process

4.1 Agency

The Company has appointed National Securities Depositories limited (NSDL) as the agency for providing the remote e-Voting platform.

4.2 Remote e-Voting Period

Remote e-Voting platform was open from Monday, 27th September 2021 (09:00 A.M) to Wednesday, 29th September, 2021 (05:00 P.M) and members were required to cast their votes electronically conveying their assent or dissent in respect of the Resolutions, on the remote e-Voting platform as provided by NSDL.



Report of Scrutinizer on remote e-Voting and voting by members of Global Finance & Securities Ltd at the 28th AGM

CS RAJARSHI GHOSH

Company Secretary In Practice
ACS No. - 17717
CP. No. - 8921

AB - 198,
Sector 1, Salt Lake City
Kolkata- 700 064
Mobile No: 09830129400
Email: csrajarshi@gmail.com

5. Voting at the AGM

- 5.1 The Company had provided the facility for voting through Poll at the AGM and members, who have not cast their vote by remote e-Voting were allowed to exercise their right to vote at the meeting.
- 5.2 The polling papers in Form MGT-12 as per Section 109(5) of the Companies Act, 2013 and Rule 21(1)(c) of the Companies (Management and Administration) Rules, 2014 were distributed to the shareholders present. The shareholders cast their votes in the ballot box kept at convenient place in the venue.

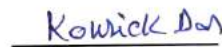
6. Counting Process

- 6.1 On completion of voting at the AGM, M/s. Niche Technologies Private Limited, the Share Transfer Agent of the Company (RTA) provided us with the list of members who has attended the meeting.
- 6.2 The votes were reconciled with the records maintained by the Company and RTA with respect to authorizations/ proxies lodged with the Company.
- 6.3 I have unblocked the remote e-Voting results in the presence of **Mr. Rahul Roy** and **Mr. Kousick Das** and downloaded the remote e-Voting results.

Rahul Roy



Kousick Das



7. Results

- 7.1 I observed that
- 10 Members had cast their votes at the AGM.
 - 26 Members had cast their votes through remote e-Voting.
- 7.2 The Consolidated Results with respect to each item on the agenda as set out in the Notice of the AGM dated 30th June 2021 is enclosed herewith.
- 7.3 Based on the aforesaid results, I report that Two Ordinary Resolutions as contained in Item No. 1 to 2 of the Notice of the AGM have been duly passed with the requisite majority and the result of the voting is detailed in **Annexure A**.

Date: 30.09.2021
Place: Kolkata




RAJARSHI GHOSH

ACS No. 17717

CP No. 8921

UDIN: A017717C001053774

Report of Scrutinizer on remote e-Voting and voting by members of Global Finance & Securities Ltd at the 28th AGM

Item 1. ORDINARY RESOLUTION: Adoption of the Audited Financial Statements of the Company (including Audited Consolidated Financial Statements) for the year ended 31st March, 2021 together with the Reports of the Board of Directors and Auditors thereon.

Particulars	Remote evoting		Voting at the AGM		Total		Percentage(%)
	Number	Votes	Number	Votes	Number	Votes	
	1	2	3	4	5=(1)+(3)	6=(2) + (4)	
Voted in favour of the resolution	24	2654200	10	26000	34	2680200	99.858
Voted against the resolution	2	3800	0	0	2	3800	0.142
Total	26	2658000	10	26000	36	2684000	100.00

1. Based on the aforesaid result, I report that the Ordinary Resolution as set out in item no 1 of the Notice of the AGM held on 30th September 2021 has been duly passed with requisite majority.

Item 2. ORDINARY RESOLUTION: Re-appointment of Mr. Hathi Mal Nahata (DIN: 00522595) as a Director, who retires by rotation and being eligible offers himself for re-appointment.

Particulars	Remote evoting		Voting at the AGM		Total		Percentage(%)
	Number	Votes	Number	Votes	Number	Votes	
	1	2	3	4	5=(1)+(3)	6=(2) + (4)	
Voted in favour of the resolution	24	2654200	10	26000	34	2680200	99.858
Voted against the resolution	2	3800	0	0	2	3800	0.142
Total	26	2658000	10	26000	36	2684000	100.00

1. Based on the aforesaid result, I report that the Ordinary Resolution as set out in item no 2 of the Notice of the AGM held on 30th September 2021 has been duly passed with requisite majority.

Place: Kolkata
Dated: 30.09.2021



Raj
Rajarshi Ghosh
ACS No.: 17717
COP No.: 8921